PTO/SB/21 (03-03)

10/804,720

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Application Number

TRANSMITTAL	•	Filing date	March 3, 2004
FORM		First Named Inventor	Stephen Dull
10V 0 4 2005 w		Art Unit	3623
(to be used for all correspondence after initial filing) Total Number of Pages in This Submission 4+		Examiner Name	TBD
		Attorney Docket Number	060021-379401/US
	ENCLOSU	IRES (Check all that appl	v)
☐ Fee Transmittal Form	☐ Drawing(s)		After Allowance Communication to a Technology Center (TC)
Fee attached	Licensing-relate	d Papers	Appeal Communication to Board of
☐ Amendment/Reply	☐ Petition		Appeals and Interferences
After Final	l <u> </u>	vert to a Provisional Application	Appeal C (Appeal Notice, Brief, Reply Brief)
☐ Affidavits/declaration(s) ☐ Extension of Time Request	Power of Attorn Change of Corre	espondence Address	Proprietary Information
	☐ Terminal Discla	imer	Status Letter
☐ Express Abandonment Request☑ Information Disclosure Statement	☐ Request for Refund ☐ CD, Number of CD(s)		☑ Other Enclosure(s) please identify
Information Disclosure Statement			below): Return Postcard
Certified Copy of Priority Document(s)	Remarks:		
Response to Missing Parts/ Incomplete Application			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
Copy of the PTO Form 1533 (Rev. 9/97), Notice to File Missing Parts of Application Filing Date Granted			
Executed Declaration and Power of Attorney Document			
SIGNA	TURE OF APPLIC	ANT, ATTORNEY, OR AG	ENT REQUIRED
Name (Print/Type) Dena VanDe	eVoort Ehrich, Reg	, No. 57,508	
Signature D. T. Valo	HBauch		
Date November 2			
	CERTIFICATI	E OF TRANSMISSION/MAI	LING
I hereby certify that this correspondence is postage as first class mail in an envelope a	being facsimile transmit	tted to the USPTO or deposited with ioner For Patents, P.O. Box 1450. Al	the United States Postal Service with sufficient exandria, VA 22313 on this date: October 25, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Date:

November 2, 2005

Typed or printed

Signature

Chris Barncard

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that on November 2, 2005, which is the date I am signing this certificate, I am depositing this correspondence the United States Postal Service, first class mail, in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA

22313-1450

Chris Barncard

Applicant: STEPHEN DULL

Serial No.: 10/804,720

Filed: 03-19-2004

Title: BRAND VALUE MANAGMENT

Atty. Docket No.: 060021-379401

Examiner: TBD

Group Art Unit: 3623

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicant brings the references listed on the attached Form PTO-1449 to the examiner's attention. 37 C.F.R. § 1.56. These references may be material to examination of the above-identified application. Please do not construe the filing of this information disclosure statement as a representation that applicant has made a search (37 C.F.R. § 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

This Information Disclosure Statement is being submitted:

tion a stage first	applicate as set to Office a set for co	tion und forth in action o	on the filing date of a national application other than a continued prosecu- ler 37 CFR 1.53(d), or within three months of the date of entry of the national 37 CFR 1.491 in an international application, or before the mailing date of a nother merits, or before the mailing of a first Office action after the filing of a and examination under 37 CFR 1.114, and therefore, Applicant believes no fee in
the n	nailing (date of	specified in paragraph (1) hereinabove of this section, but is being filed before either a final action under 37 CFR 1.113, or a notice of allowance under 37 ction that otherwise closes prosecution in the application, and is accompanied ving:
	(a)	A state	ement that either:
		(i)	Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;
		OR	
		(ii)	No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement;
	OR		
	(b)		te of \$180 for filing of an Information Disclosure Statement as set forth C.F.R. 1.17(p).
			specified in paragraph (2) of this section, but is filed on or before payment of accompanied by both:
	(a)	A state	ement that either:
		(i)	Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement;
		OR	
		(ii)	No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement;
		AND	
	(b)		te of \$180.00 for filing of an Information Disclosure Statement as set forth in R 1.17(p).

Serial No 10/804,720 Page 3 of 3

Applicant would appreciate the Examiner initialing and signing a copy of Form PTO-

1449, transmitted herewith, indicating that the information has been considered and made of re-

cord herein.

In the unlikely event that the transmittal letter is separated from this document and the

Patent Office determines that an extension and/or other relief is required, Applicant petitions for

any required relief including extensions of time and authorizes the Commissioner to charge the

cost of such petitions and/or other fees due in connection with the filing of this document to De-

posit Account No. 50-1901 referencing order number 060021-379401.

November <u>Z</u>, 2005

Dena VanDeVoort Ehrich, Reg. No. 57,508

By LAVILOTTE Florich

Attorney for Applicant

OPPENHEIMER WOLFF & DONNELLY LLP

45 South Seventh St.

Plaza VII, Suite 3300

Minneapolis, MN 55402-1609

Telephone: (612) 607-7345 • Fax: (612) 607-7100

Substitute for form 1449A/PTO				Complete if Known		
	Information Disclosure			Application Number	10/804,720	
STATEMENT BY APPLICANT (use as many sheets as necessary)			APPLICANT	Filing Date	03-19-2004	
				First Named Inventor	STEPHEN DULL	
			as necessary)	Art Unit	3623	
				Examiner Name	TBD	
Sheet	1	of	1	Attorney Docket Number	060021-379401	

U.S. PATENT DOCUMENTS				
Examiner Cite	Cite	Document Number	Issue Date	Name of Patentee or
Initials*	No.	Number -Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document
		US 2001/0041995 A1	11-15-2001	Jeffrey Scott Eder
		US 2002/0032585 A1	3-14-2002	Tim Kerry Keyes
		US 2004/0039676 A1	2-26-2004	David Trainer
		US 2002/0138383 A1	9-26-2002	Thomas A. Rhee
	1			A CONTRACTOR OF THE CONTRACTOR
	 			

		FO	REIGN PATENT DOCU	MENTS	
Examiner Initials*	Cite No.	Foreign Patent Document Country Code'-Number'-Kind Code' (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Т"
		EP 1 288 813 A1	3-5-2003	Hitachi, Ltd.	
		EP 1 351 175 A	10-8-2003	Yasufumi Utsumi	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
Examiner Si	gnature	Date Considered			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor may be the standard of the patent document.

Kind of document by the appropriate symbols as indicated the standard of the patent document. on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.